

**Accuracy**

**Unbiased**

**Timeliness**

**Service**

**Security**



# **National Agricultural Statistics Service**

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**Impartial**

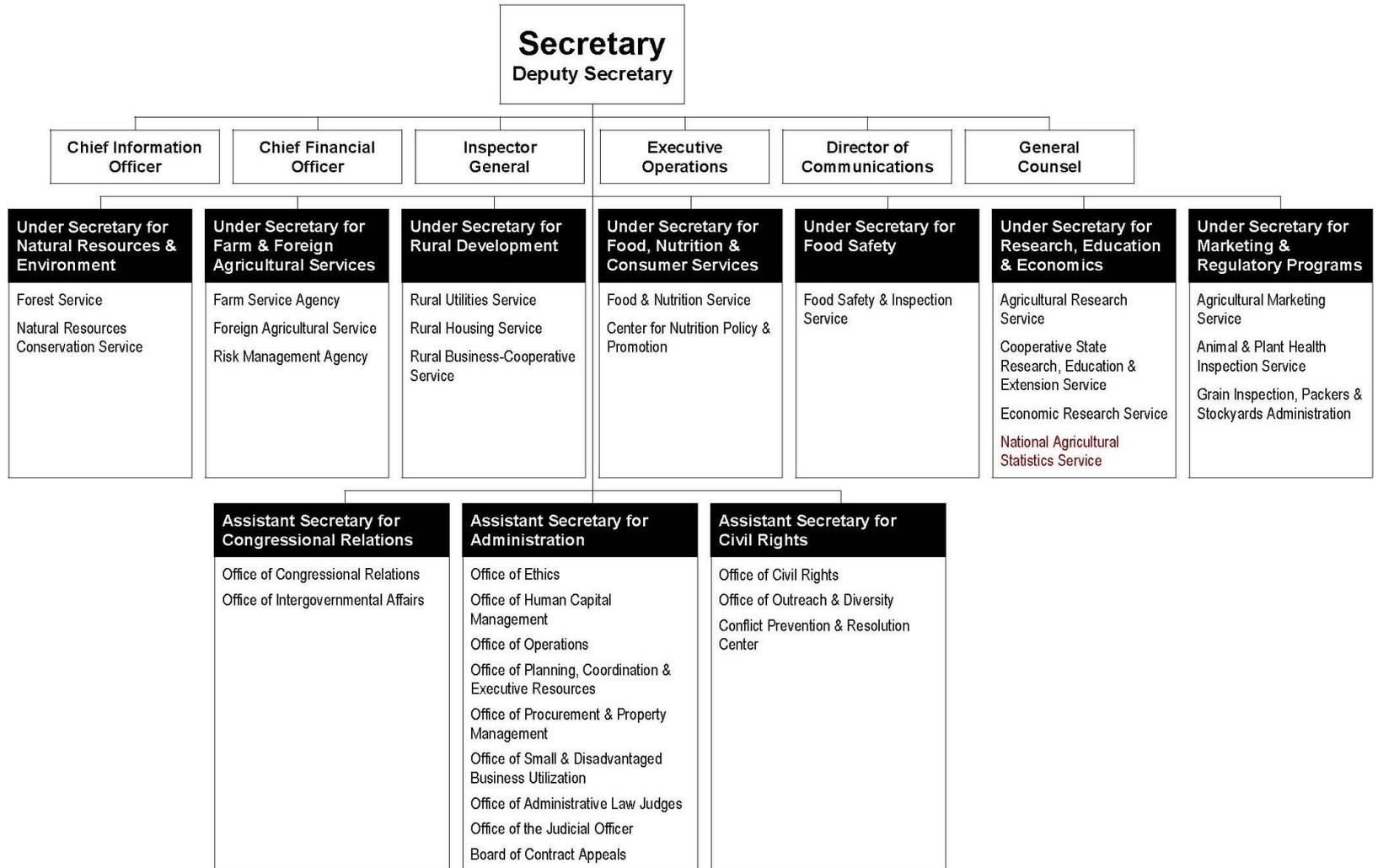
**Trust**

**Confidentiality**

**Team Work**



# United States Department of Agriculture





# Information System for **U.S.** Agriculture

## **Agricultural Marketing Service**

quick market information on prices, supply & demand

## **National Agricultural Statistics Service**

basic statistics on crops, livestock,  
labor, chemical use, farm demographics, income & expenses

## **Economic Research Service**

economic analysis for the U.S. agricultural sector



## **National Agricultural Statistics Service**

the statistical survey agency of the  
U.S. Department of Agriculture

non-political

non-policy making

independent-objective-unbiased appraisers of U.S. agriculture

**a cooperative statistical survey agency**

State departments of agriculture  
agricultural colleges & universities



## **Mission:**

*to provide  
timely, accurate and useful statistics  
in service to U.S. agriculture*



**administer USDA's domestic  
agricultural statistics program**

over 450 reports each year

*120 crop items*

*45 livestock items*

**Agricultural census every 5 years**

**Farm & ranch irrigation survey every 5 years**

**Horticultural census every 10 years**

**Aquaculture census**

**Agricultural economics & land ownership survey**



coordinate federal & state  
agricultural statistics needs

statistical & survey consulting

other USDA agencies  
other government agencies  
private organizations  
other countries

statistical research



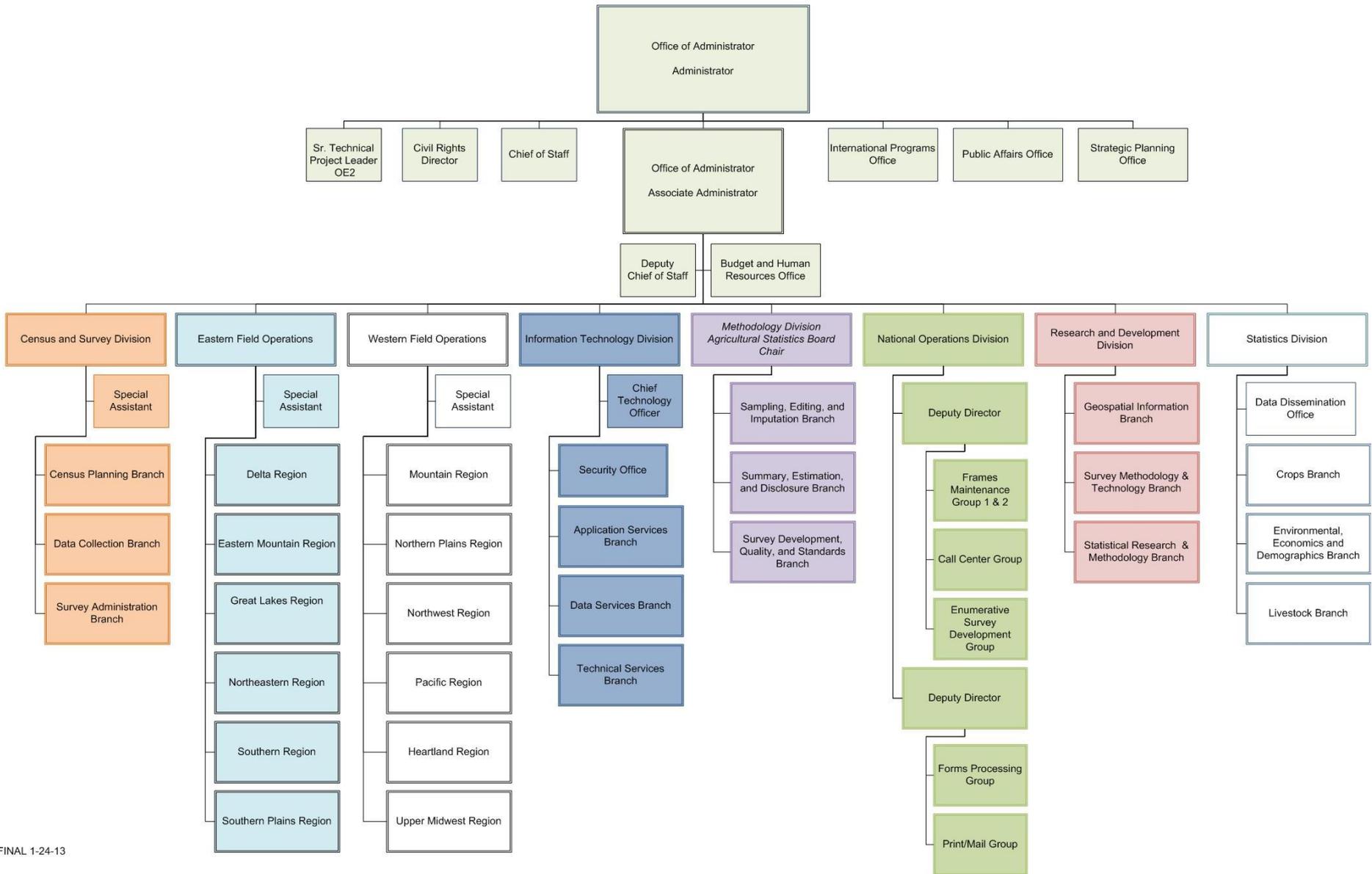
Headquarters in Washington D.C.

12 regional offices serving 50 states

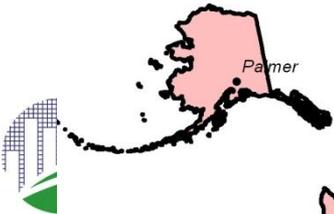
900 Federal employees

60 State employees

3,500 contract employees



# Field Operations

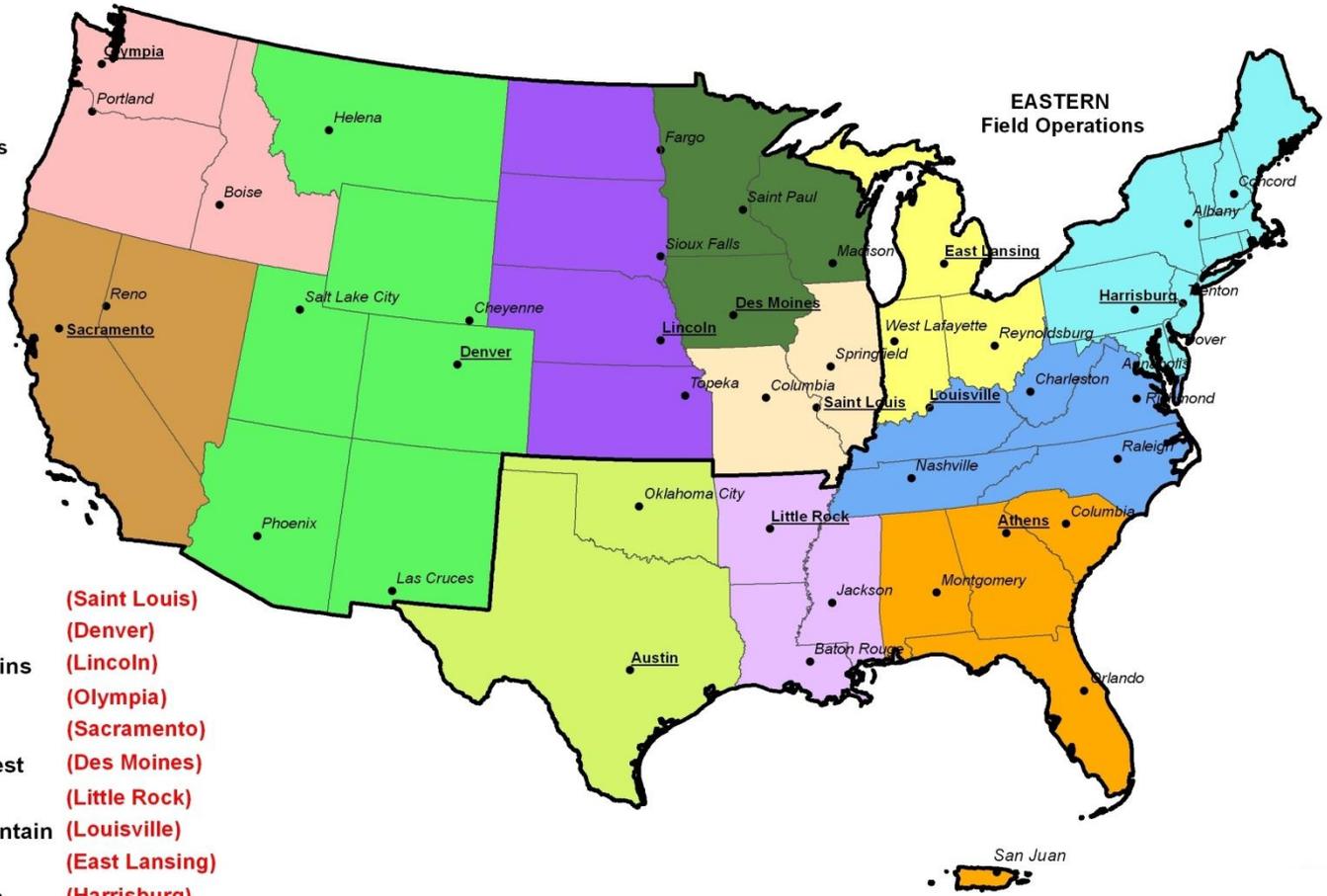


**WESTERN**  
Field Operations

**EASTERN**  
Field Operations

**Regions**

- Heartland
  - Mountain
  - Northern Plains
  - Northwest
  - Pacific
  - Upper Midwest
  - Delta
  - Eastern Mountain
  - Great Lakes
  - Northeastern
  - Southern
  - Southern Plains
- 
- (Saint Louis)
  - (Denver)
  - (Lincoln)
  - (Olympia)
  - (Sacramento)
  - (Des Moines)
  - (Little Rock)
  - (Louisville)
  - (East Lansing)
  - (Harrisburg)
  - (Athens)
  - (Austin)



*Presence Offices*  
**Regional Offices**

# NASS Ongoing Agricultural Statistics Program



## Crops:

grains  
hay  
oilseeds  
cotton  
tobacco  
potatoes  
sugar  
other field crops  
citrus fruit  
non-citrus fruit  
nuts  
vegetables  
floriculture

crop progress

acreage  
- prospective plantings  
- planted  
- harvested

yield & production  
- forecasts  
- final  
- by utilization

stocks  
disposition

processing

prices received by farmers

agricultural chemical use

## Livestock:

cattle  
hogs  
sheep  
goats  
equine  
poultry  
milk & dairy products  
aquaculture  
bees & honey  
mink

inventory  
- total  
- by class  
- births  
- deaths  
- predator losses

marketings  
slaughter

production/disposition  
- meat  
- other products  
(milk, dairy products, wool, mohair, eggs, honey, etc.)

prices received by farmers  
inventory/production values

## Other:

number of farms  
land in farms

land values  
cash rents

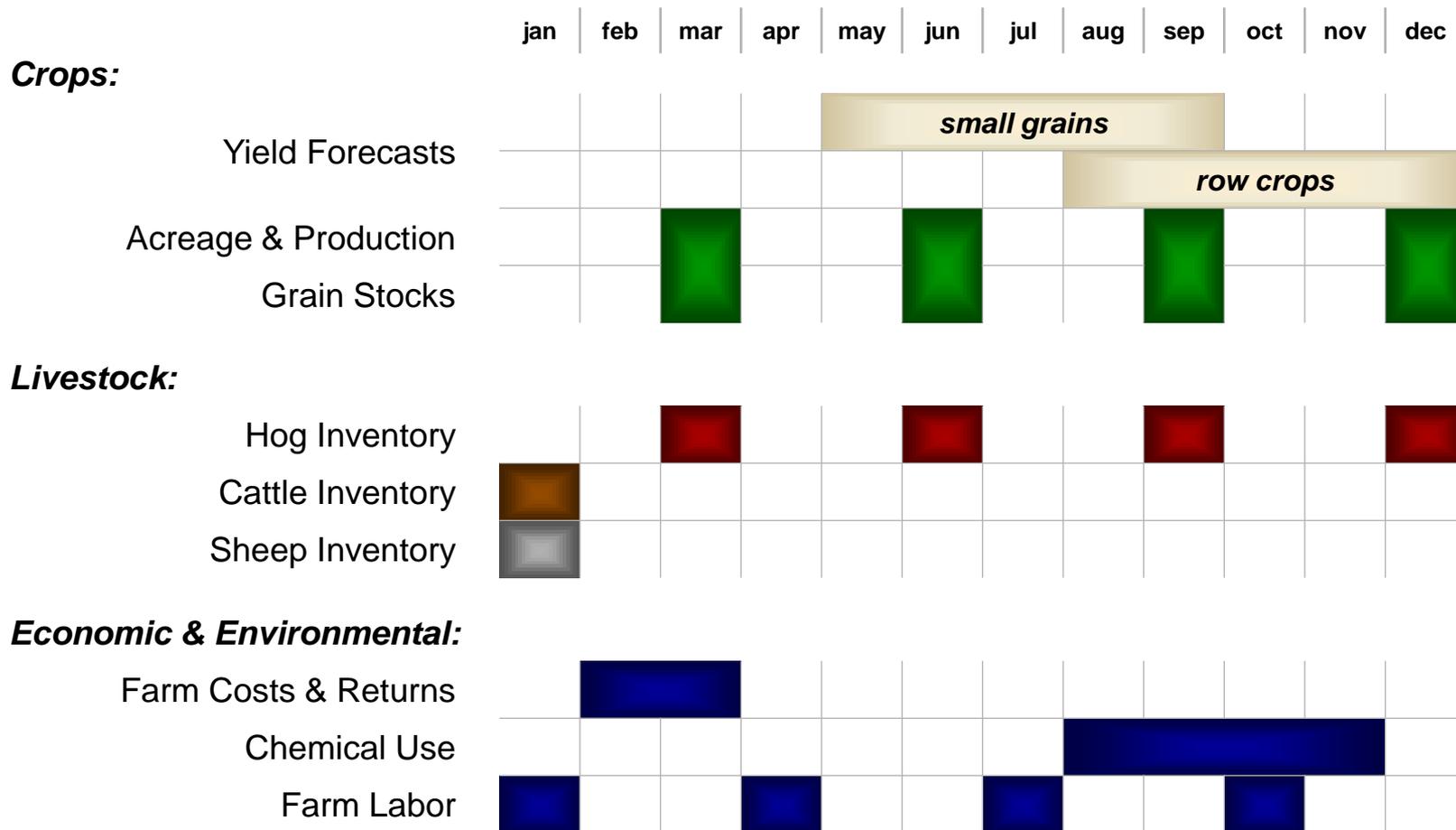
agricultural labor  
- number of workers  
- hours worked  
- wages paid

cold storage  
- holdings  
- capacity

cash receipts  
production expenditures

weekly ~ monthly ~ quarterly ~ annual

# NASS Survey Program for Major Data Series



# *How does NASS produce statistics?*

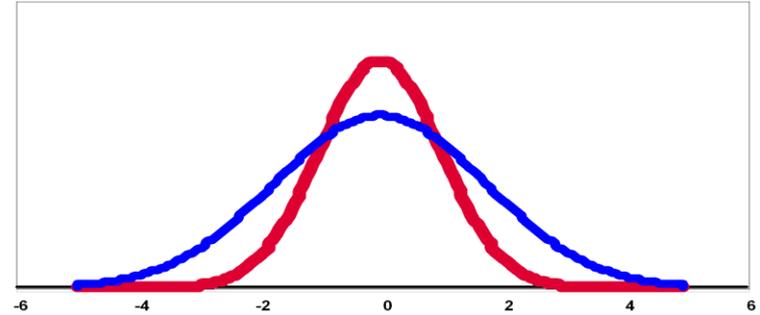


estimates based on data from  
agricultural producers & agri-businesses

surveys  
administrative sources

**sample & census procedures**  
*scientifically proven sampling techniques*

lists of producers & businesses  
segments of land



## Statistical Inference

The process of using data obtained from a sample to make estimates or test claims about the characteristics of a population.

# Target Population



The group about which information is sought, or about which we want to make inferences; the group we intend to sample. all the items (people, farms, animals, businesses, etc.) about which information is needed.

## Examples

- All farms and ranches in the United States
- All hog owners on in the United States



## ***Farm:***

any place that produced and sold,  
or normally would have produced and sold,  
\$1,000 or more of agricultural products  
during the calendar year

~ currently about 2.2 million farms ~

# Sampling Frame



- A listing of elements of the population that allow one to select a sample with known probabilities
- Effective if Complete and Unique
- NASS Frames: List, Area, Multiple Frame





# NASS List Frame

## *What is it?*

data to identify, locate & contact  
farmers & agri-businesses

name

address

telephone number

state, district & county

Employer Identification Number

data about the farm/business

total acres

individual crop acres

grain storage capacity

peak livestock inventories

peak number of hired workers

⋮



# NASS List Frame

## *How is it constructed?*

### **sources for new names & data**

growers organizations  
farm program lists  
state & local tax records  
state & local license records  
lists from other federal, state & local agencies  
newspaper & magazine articles

### **sources for updating names & data**

on-going NASS surveys  
Census of Agriculture



# NASS List Frame

## *How is it used?*

- 1 classify** identify farmers &/or agri-businesses likely to have item(s) of interest
- 2 stratify** group similar units together based on size or amount of item(s) to be measured
- 3 sample** select units from each group
- 4 survey** collect data for selected units
- 5 summarize** expand data using probabilities of selection

# Iowa: MPPS Crops/Stocks Sample



## Multivariate Probability Proportion to Size (MPPS)

Targets	Sample Size
Cropland	725
Capacity	675
Calculated Land in Field Crops	650
Hay	475
Corn	740
Soybeans	880
Oats	1,275
Oats for Grain	550
Winter Wheat	275
Total	2,264



# Example: Hogs and Pigs Stratification

Stratum	Boundaries	Population	Sample Size	Sample Weight
80	Hogs 1-99	1,402	75	18.69
82	Hogs 100-499	736	100	7.36
84	Hogs 500-999	286	75	3.81
86	Hogs 1,000-4,999	845	375	2.25
88	Hogs 5,000-14,999	265	150	1.77
90	Hogs 15,000-49,000	81	81	1.00
98	Hogs 50,000+	43	43	1.00
	<b>Total</b>	<b>3,658</b>	<b>1,571</b>	

# NASS List Frame



## Strengths:

- ✓ can use inexpensive data collection methods (mail, telephone)
- ✓ can target specific or rare commodities
- ✓ can reduce variability due to sampling
- ✓ cost efficient
- ✓ Efficient for large farms

# NASS List Frame



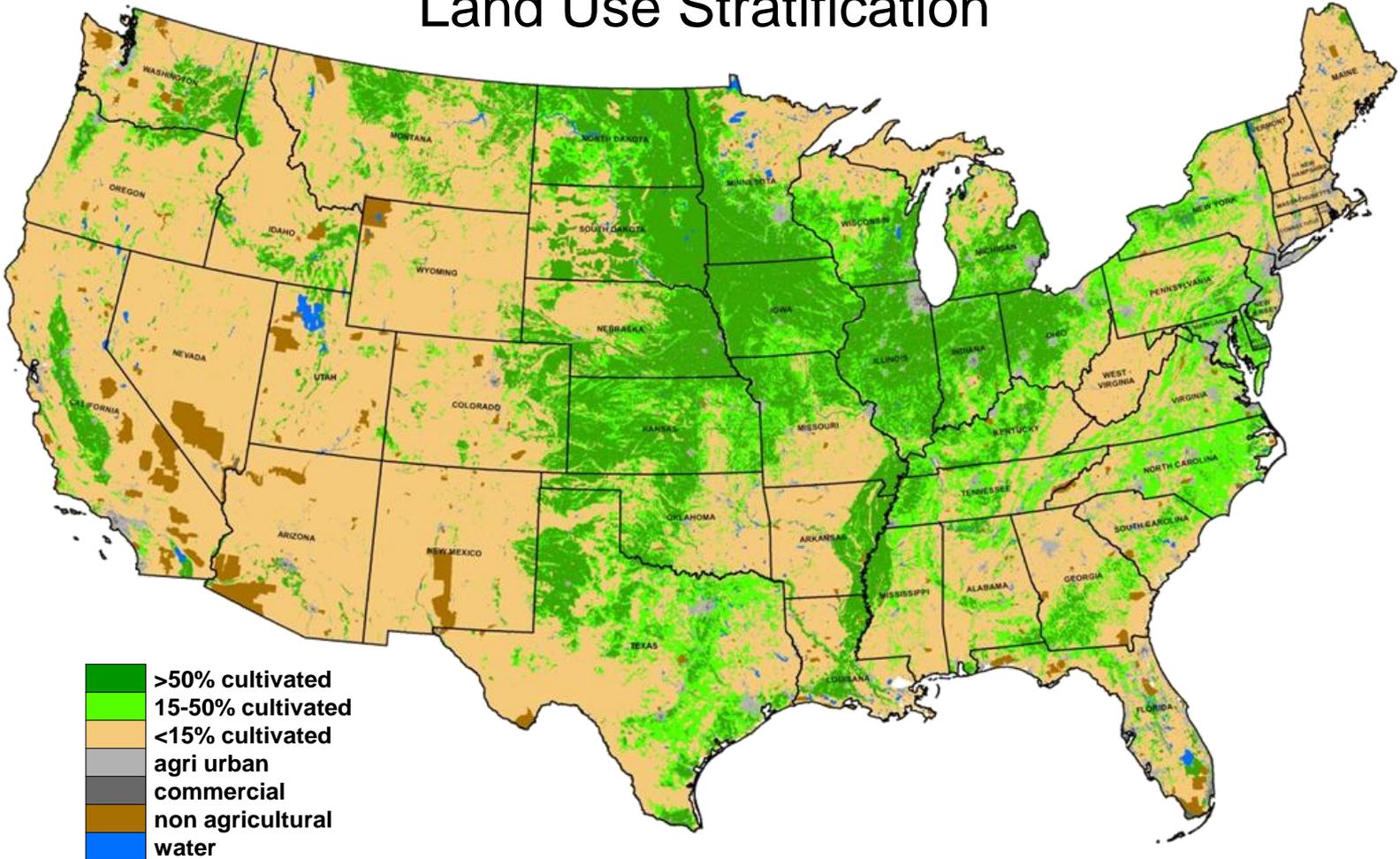
## Weaknesses:

- ❑ Incomplete, does not cover entire population
- ❑ goes out-of-date quickly
- ❑ increased non-sampling errors due to data collection methods
- ❑ requires on-going maintenance
  - build
  - update
  - remove duplication
  - remove out-of-scope records
- ❑ Expensive to maintain

# NASS Area Frame



## Land Use Stratification





# NASS Area Frame

## *What is it?*

land area of the U.S  
divided into segments  
using physical boundaries

associate farms, crops, animals, etc.  
with land inside the segments



# NASS Area Frame

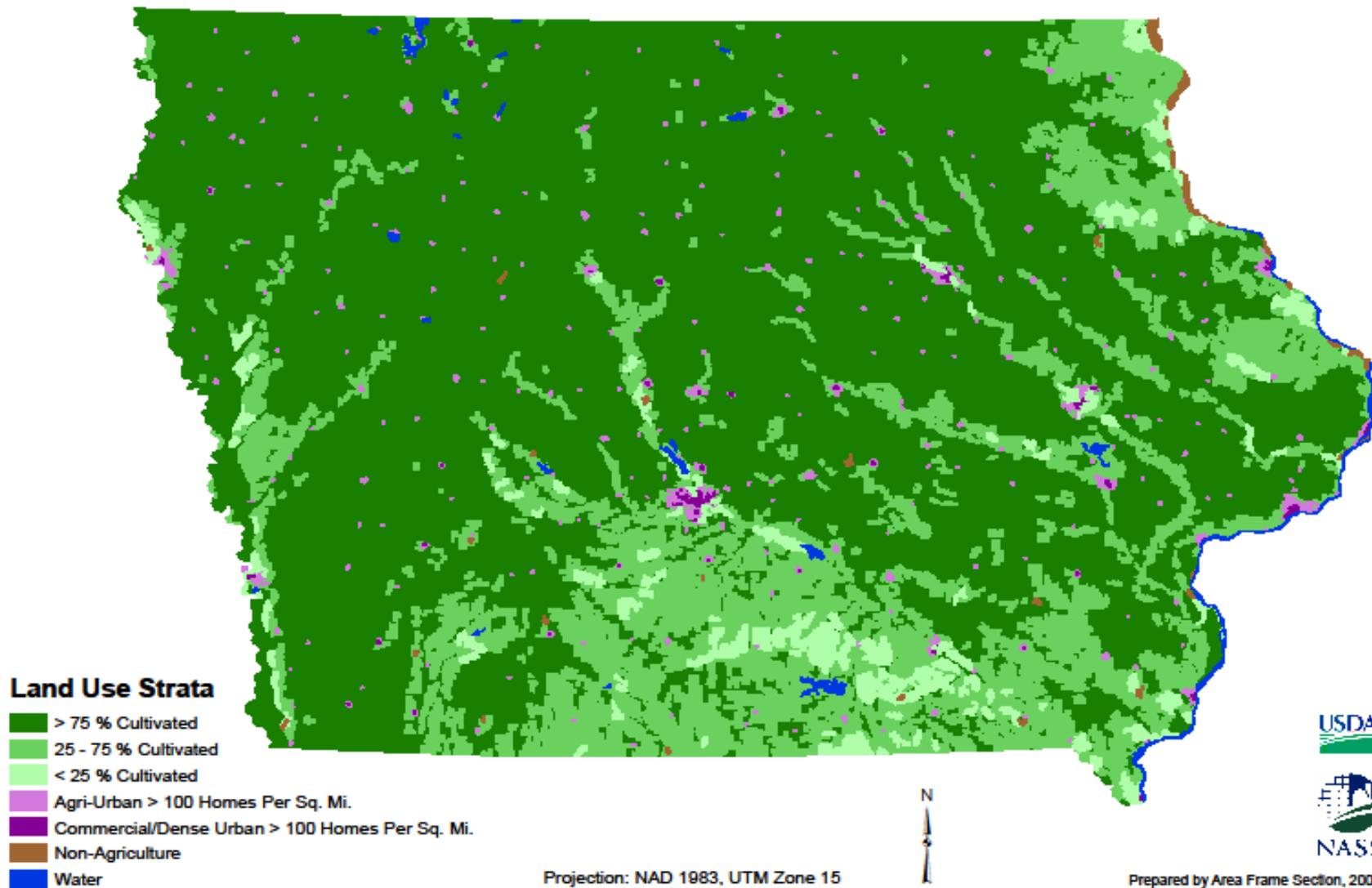
## *How is it constructed?*

using...

satellite imagery  
topographic maps  
GIS software  
aerial photography

- ① divide land area into strata based on land use & likelihood of finding agriculture
- ② subdivide land use strata into strata blocks
- ③ select a sample of strata blocks
- ④ subdivide selected strata blocks into segments

# Stratification of Iowa 1989

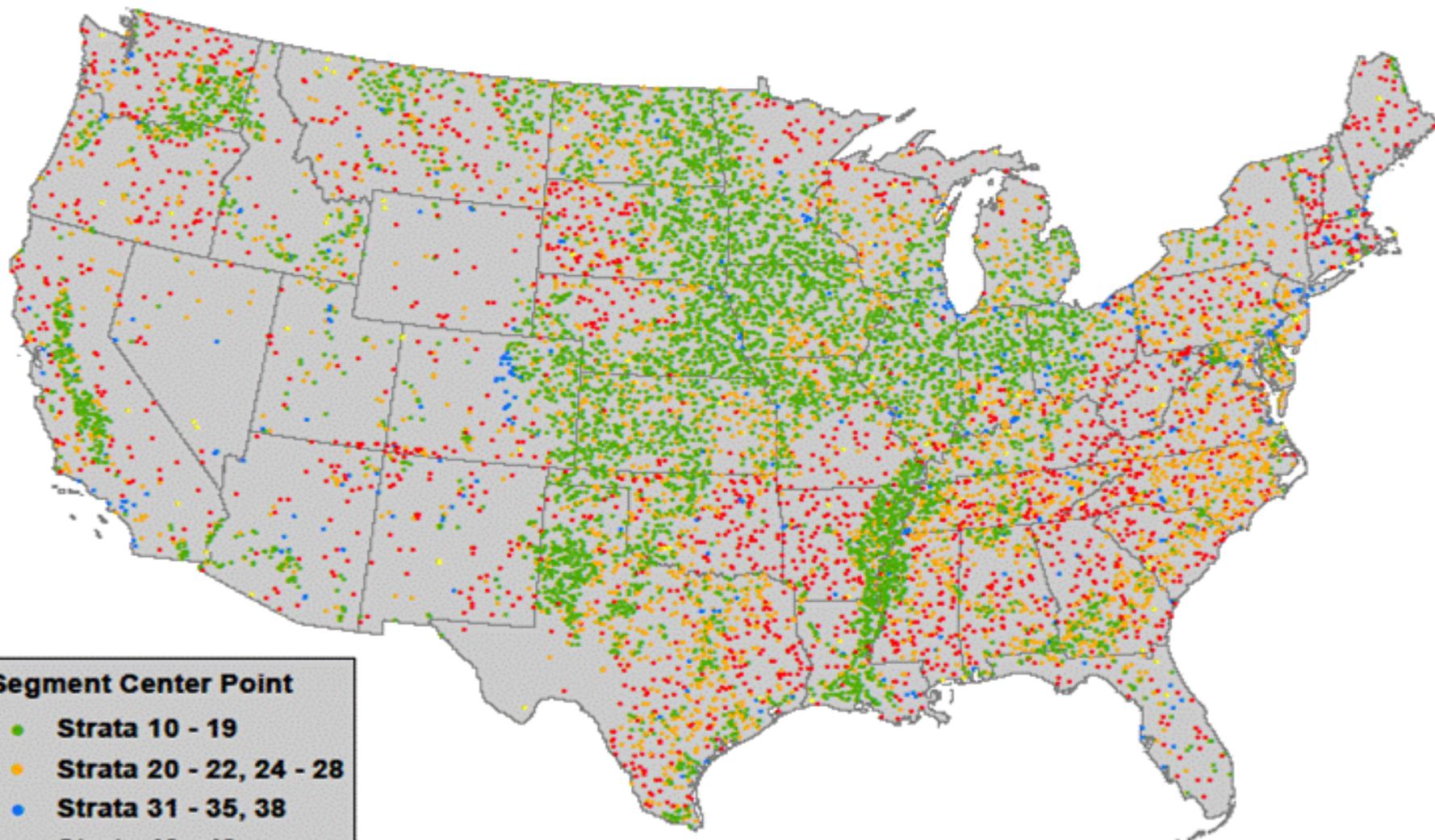




## Iowa – Area Sample Design (1989)

stratum	boundaries	total area square miles	segment size	total number of segments	number of sampled segments	expansion factor
11	>75% cultivated	43,163	1.0	43,128	336	128
20	25-75% cultivated	10,902	1.0	10,885	60	181
31	agri-urban: >100 homes/mi <sup>2</sup>	409	0.25	1,618	2	809
32	commercial: >100 homes/mi <sup>2</sup>	48	0.10	474	2	237
40	<25% cultivated	1,447	2.0	722	2	361
50	non-agricultural	120	pps	31	2	16
<b>TOTAL</b>				<b>56,858</b>	<b>404</b>	

# JAS Segment Center Points by Stratum



## Segment Center Point

- Strata 10 - 19
- Strata 20 - 22, 24 - 28
- Strata 31 - 35, 38
- Strata 40 - 49
- Strata 50



## *How is it used?*

- ① sample** select a sample of segments – generally keep segments in sample for 5 years, rotate 20% of sample each year
- ② survey** account for all land inside segment boundaries, obtain information about all farms with land inside segments
- ③ Summarize** expand data using probabilities of selection (based on land area)
  - \* tract level and farm level

# NASS Area Frame



## Strengths:

- ✓ complete coverage
- ✓ reduced non-sampling errors
- ✓ estimates well for commonly produced commodities
- ✓ versatility
- ✓ longevity



# NASS Area Frame

## Weaknesses:

- ✗ expensive (frame construction & data collection)
- ✗ difficult to target specific or rare commodities
- ✗ sensitive to outliers
- ✗ can be inefficient
- ✗ requires definable physical boundaries

# June Area Survey



- ✓ One of the most important survey we conduct in NASS
  - Full Area Frame Sample,  $n = \sim 12,000$  Segments
  
- ✓ Provides key Indications for:
  - Acreage
  - Number of Farms and Land in Farms
  - Land Values and Cash Rents
  - NOL Component for the July Cattle and Sheep Surveys
  
- ✓ Measures incompleteness of NASS List Frames
  - Provides coverage measures used for the NASS Red Book
  - Tracts Not on a NASS list are sub-sampled for follow-on surveys
    - Follow-on Surveys: Collect farm level data only
  
- ✓ Identifies sample fields for the Corn and Soybean Objective Yield Surveys
  
- ✓ Both Tract level and Farm Level data are collected
  - Accurate tract and farm acres for weighting purposes
  - Accurate Name of Operators is critical for OL/NOL procedures

# Multiple Frame



The Joint use of two or more sampling frames

Multiple Frame = List Frame + Area (NOL) Domain

Key Points:

- Must have procedure to count each reporting unit once and only once
- Translate the Area Frame into the List Frame
- Determine which records are Not On List
- Complete Name and Address information on the Area Frame
- **INDEPENDENCE**
  - Area Frame Provides a measure of List Incompleteness (Undercoverage!)





# NASS Multiple Frame

## *How is it used?*

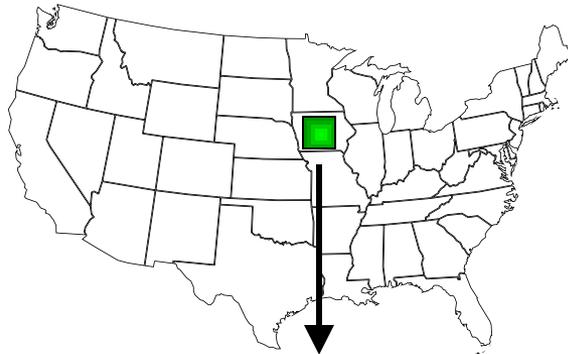
- ① **sample** select list & area samples
- ② **survey** collect data for selected units from both frames – determine if operations in area sample are on list (OL)
- ③ **summarize** expand data for list samples & area operations not on list (NOL) using probabilities of selection

*MF expansion = list expansion + NOL expansion*



# NASS Multiple Frame

Area



Bill Smith NOL	Joe Green NOL
	Bob Smith OL
Windy Ridge Farm OL	

List

**Windy Ridge Farm**  
**John Brown**  
**1234 Farm Rd**  
**Anywhere, US 00000**

Richard Jones  
789 Ranch Rd  
Anystate, US 99999

**Bob Smith**  
**56 Orchard Rd**  
**Anywhere, US 00000**

Dave White  
123 Farm Rd  
Anywhere, US 00000

# NASS Multiple Frame



## Strengths:

- ✓ together frames cover target population
- ✓ can control variability due to sampling
- ✓ can control costs with large list, small area samples
- ✓ can target specific or rare commodities



# NASS Multiple Frame

## Weaknesses:

- ✗ NOL can be too small
- ✗ overlap determination can be difficult
- ✗ errors in overlap determination can bias estimates
- ✗ list and area frames must be maintained independently

# Precision



- Measures how close the indication from one sample would be to indications from another sample using the same survey procedures.
- Measured by the **Sample Variance**  
The **variance** is a measure of the variability of measurements in a sample or population. The variance of an item is the average value of the square of the deviation between a randomly selected measurement of a population and the true value.



# Target CV's



- **Standard Error** (square root of variance)
  - same units as indication
  - estimates the variability between samples
- **Coefficient of Variation: CV:** Relative measure of dispersion

$$\text{c.v.} = \frac{\text{Standard Error}}{\text{Indication}} \times 100$$

- **Sample Size Allocations: Target CV's**

National Level	1.0 - 3.0 %
State Level	5.0 -10.0 %

**One measure of Agency Performance!**

